

Independent Advisors of Florida

Confidential Client Data For Financial Planning

Documents & Statements Checklist

Needed	Received	Returned	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Prior financial plans & investment reviews or analysis
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Paycheck Stubs, Most Recent W-2's, W-4's
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Employee Handbook & Benefits Statements
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	2 Years Tax Returns (Federal, State, Local, Gift, Estate, Trust)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Social Security Benefits Statements
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Wills, Living Wills & Related Documents
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Codicils & Powers of Attorney
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Revocable & Irrevocable Living Trusts
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Divorce Decrees, Pre-Nuptial & Post-Nuptial Agreements
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Mortgage & Other Loan Statements (including terms)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Tax Bills & Property Deeds
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Employer Retirement Plan Statements (401(k), etc.)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Stock Option Agreements
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	IRA & Roth IRA Statements
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Education Savings Accounts Stmts. (Prepaid, 529, Coverdell, etc.)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Brokerage & All Other Financial Account Statements
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Bank Statements (including CD's, money markets, etc.)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Individual Securities Owned (stocks, bonds, savings bonds, etc.)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Stmts. For Annuities, Life, Disability and Health Insurance Policies
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Policy Declarations Pages For Property & Casualty Insurance (Home, Auto, Umbrella, Artclies, Boat, etc.)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Documentation of Other Assets Not Previously Listed (Real Estate, Business Holdings, Private Equity, Private Mortgages/Lending, etc.)